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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Michael D. Brookshire

Application No./Patent No.: 10/613,281 Filed/Issue Date: July 3, 2003

Entitled: METHOD OF FACETING OF GEMSTONES TO PRODUCE SPIRALING EFFECT

Pro-Gems, L.L.C., a Limited Liability Company
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
In the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.06]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

9/25/03
Date
480 945 1259
Telephone number

Michael D. Brookshire
Typed or printed name

Signature
PRESIDENT
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



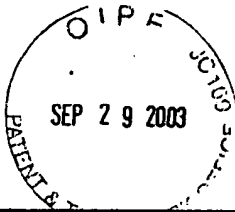
Applicant/Patentee/Owner: Michael D. Brookshire Docket No.: 0538002
Ser. No./Patent No./Reg. No.: 10/613,281 Filing/Issue Date: 7-3-2003
Title/Mark: Method of Facing of Hematones to
Produce Spiraling Effect
The following items have been received in the U.S. Patent & Trademark Office on the date stamped hereon:

<input checked="" type="checkbox"/> Check # <u>1556</u> \$ <u>40</u>	<input type="checkbox"/> Specification, claims, Abst.
<input type="checkbox"/> Check # _____ \$ _____	<input type="checkbox"/> Sheet(s) of Drawings
<input type="checkbox"/> Certificate of Mailing	<input type="checkbox"/> Information Disclosure
<input type="checkbox"/> Transmittal letter	<input type="checkbox"/> Prior Art
<input type="checkbox"/> Declaration	<input type="checkbox"/> Response to Office Action
<input type="checkbox"/> Power of Attorney & Cert. Under 3.73(b)	<input type="checkbox"/> & Amendment Letter
<input type="checkbox"/> Provisional Appl. for Patent Cover Sheet	<input type="checkbox"/> _____ Mo. Extension of Time
<input checked="" type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Base Issue Fee Trans. (2)
<input type="checkbox"/> Nonpublication Request Under 35 USC	<input type="checkbox"/> TM/SM Application
<input type="checkbox"/> 122(b)(2)(B)(i)	<input type="checkbox"/> Three Specimens
<input type="checkbox"/> Fee Transmittal (2)	<input type="checkbox"/> PCT Request
_____	<input type="checkbox"/> Chapter II Demand
_____	<input type="checkbox"/> Fee Calculation Sheet

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Durando Birdwell & Janke, P.L.C.
2929 E. Broadway Boulevard
Tucson, AZ 85716



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RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Michael D. Brookshire

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 0711/2003

2. Name and address of receiving party(ies)

Name: Pro-Gems, L.L.C.

Internal Address: _____

Street Address: 5809 Paseo hermoso

City: Cave Creek State: AZ Zip: 85331

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/613,281

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gavin J. Milczarek-Desai

Internal Address: _____

Durando Birdwell & Janke, P.L.C.

Street Address: 2929 E. Broadway Blvd.

City: Tucson State: AZ Zip: 85716

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

9. Signature.

Gavin J. Milczarek-Desai

Name of Person Signing


Signature

8/6/03
Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I, Michael D. Brookshire, hereby assign and transfer unto Pro-Gems, L.L.C., a limited liability company of the State of Arizona, having its principal offices at 5809 Paseo Hermoso, Cave Creek, Arizona 85331, United States of America, and unto its successors, assigns and legal representatives, the entire right, title and interest to a certain invention entitled "METHOD OF FACETING OF GEMSTONES TO PRODUCE SPIRALING EFFECT" described, illustrated and claimed in an application for Letters Patent of the United States of America executed by me on July 3, 2003, together with the entire right, title and interest in and to said application, and in and to Letters Patent which may be issued upon said application, and in any division, extension, continuation, continuation-in-part or reissue thereof, said Pro-Gems, L.L.C. bearing all patent application expenses, including attorney fees.

I also hereby assign and transfer unto said Pro-Gems, L.L.C. the entire right, title and interest in and to said inventions and in and to applications for Letters Patent therefor in all countries foreign to the United States of America, including all rights under any and all international conventions and treaties in respect of said inventions and said applications for Letters Patent in foreign countries; I further authorize said Pro-Gems, L.L.C. to apply for Letters Patent in foreign countries directly in its own name, and to claim the priority of the filing date of said application for Letters Patent of the United States of America under the provisions of any and all international conventions and treaties.

I hereby authorize and request the Commissioner of Patents of the United States of America to issue Letters Patent upon the aforesaid application, division, extension, continuation, continuation-in-part or reissue, to Pro-Gems, L.L.C. for the sole use and behoof of said Pro-Gems, L.L.C., its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent

may be granted, the same as they would have been held and enjoyed by me had this assignment not been made, and I hereby authorize and request the equivalent authorities in foreign countries to issue the patents of their respective countries to said Pro-Gems, L.L.C.

I agree that, when requested, I will, without charge to said Pro-Gems, L.L.C., but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and/or maintaining patents for said invention in any and all countries and for vesting title thereto in said Pro-Gems, L.L.C., its successors, assigns and legal representatives or nominees.

I covenant with said Pro-Gems, L.L.C., its successors, assigns and legal representatives, that the interest and property hereby conveyed is free from all prior assignment, grant, mortgage, license or other encumbrance.



Michael D. Brookshire

7.11.03

Date

California
State of Arizona)
County of Los Angeles) ss.

I, Gerald R. Herz, a Notary Public in and for the County and State aforesaid, do hereby certify that Michael D. Brookshire, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he signed, sealed and delivered the instrument as his free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and notarial seal this 11 day of July, 2003.


Notary Public

Oct 19 2006
My Commission Expires

